



16 October 2023

**THE PHILIPPINE STOCK EXCHANGE, INC.**

6F Philippine Stock Exchange Tower  
5<sup>th</sup> Avenue corner 28<sup>th</sup> Street  
Bonifacio Global City, Taguig City

Attention: **Ms. Alexandra D. Tom Wong**  
Head – Disclosure Department

**PHILIPPINE DEALING & EXCHANGE CORP.**

29/F, BDO Equitable Tower,  
8751 Paseo de Roxas, Makati City

Attention: **Atty. Marie Rose M. Magallen-Lirio**  
Head – Issuer Compliance and Disclosure Department (ICDD)

Dear Ms. Tom Wong and Atty. Lirio:

Please find attached list of Top 100 Stockholders of East West Banking Corporation (EW) as of September 30, 2023.

Thank you.

Sincerely,

A handwritten signature in black ink, appearing to read "AV", with a long horizontal stroke extending to the right.

**Vincent A. Villanueva**  
Finance Officer

**> EAST WEST BANKING CORPORATION**

The Beaufort, 5<sup>th</sup> Avenue corner 23<sup>rd</sup> Street, Fort Bonifacio Global City, Taguig City  
Telephone number: 575-3888 | Email: [service@eastwestbanker.com](mailto:service@eastwestbanker.com) | [www.eastwestbanker.com](http://www.eastwestbanker.com)  
A member of the [FILINVEST](#) Group

**PSE Disclosure Form 17-12-A - List of Top 100 Stockholders (Common Shares)**  
**Reference: Section 17.12 of the Revised Disclosure Rules**

**Type of Securities EAST WEST BANKING CORPORATION**

Common

**For the period ended** SEPTEMBER 30, 2023

**Description of the Disclosure**

EAST WEST BANKING CORPORATION TOP 100 STOCKHOLDERS

|   |               |
|---|---------------|
| <b>Number of Issued and Outstanding Common Shares</b> | 2,249,975,411 |
| <b>Number of Treasury Common Shares, if any</b>       | -             |
| <b>Number of Outstanding Common Shares</b>            | 2,249,975,411 |
| <b>Number of Listed Common Shares</b>                 | 2,249,975,411 |
| <b>Number of Lodged Common Shares</b>                 | 466,854,522   |
| <b>PCD Nominee – Filipino</b>                         | 403,723,840   |
| <b>PCD Nominee – Non-Filipino</b>                     | 63,130,682    |
| <b>Number of Certificated Common Shares</b>           | 1,783,120,889 |

**Change from previous submission**

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## Stock Transfer Service, Inc.

### EAST WEST BANKING CORPORATION

#### List of Top 100 Stockholders as of September 30, 2023

| Count | Name  | No. of Shares | Percentage |
|-------|---|---------------|------------|
| 1     | FILINVEST DEVELOPMENT CORPORATION                   | 900,136,017   | 40.01%     |
| 2     | FILINVEST DEVELOPMENT CORPORATION FOREX             | 592,411,545   | 26.33%     |
| 3     | PCD NOMINEE CORPORATION (FILIPINO)                  | 403,723,840   | 17.94%     |
| 4     | FDC FOREX CORPORATION                               | 244,170,519   | 10.85%     |
| 5     | PCD NOMINEE CORPORATION (NON-FILIPINO)              | 63,130,682    | 2.81%      |
| 6     | F. YAP SECURITIES INC.                              | 18,900,000    | 0.84%      |
| 7     | FDC FOREX CORPORATION                               | 12,935,100    | 0.57%      |
| 8     | F. YAP SECURITIES INC                               | 4,005,600     | 0.18%      |
| 9     | FDC VENTURES INC.                                   | 2,000,000     | 0.09%      |
| 10    | CO JONATHAN DEE                                     | 1,200,000     | 0.05%      |
| 11    | BERIT HOLDINGS CORPORATION                          | 1,048,410     | 0.05%      |
| 12    | ALBARRACIN TRINIDAD M. OR ALBARRACIN MARIO M.       | 1,000,000     | 0.04%      |
| 13    | TEH ALFONSO S.                                      | 750,000       | 0.03%      |
| 14    | TEAM GLADIOLA INC.                                  | 745,930       | 0.03%      |
| 15    | ALFREDO B. CATAPANG OR CARMINA P. CATAPANG          | 530,000       | 0.02%      |
| 16    | SUSMERANO GERARDO                                   | 480,000       | 0.02%      |
| 17    | CARMINA P. CATAPANG &/OR ALFREDO B. CATAPANG        | 470,000       | 0.02%      |
| 18    | FERNANDEZ JACQUELINE SARMIENTO                      | 437,000       | 0.02%      |
| 19    | MANUEL A. SANTIAGO &/OR ELLA C. SANTIAGO            | 330,600       | 0.01%      |
| 20    | SUSMERANO GERARDO                                   | 270,558       | 0.01%      |
| 21    | HERRERA VICTORIA S.                                 | 161,000       | 0.01%      |
| 22    | CHENG JOSHUA  | 150,000       | 0.01%      |
| 23    | MIRIAM CHENG BONA ITF MARK JERICHO C. BONA          | 150,000       | 0.01%      |
| 24    | GOTAUCO QUIRINO CHEONG                              | 131,598       | 0.01%      |
| 25    | UY IVY B.   | 112,500       | 0.01%      |
| 26    | BAGUYO DENNIS GRANADA                               | 61,000        | 0.00%      |
| 27    | LIM EDWIN U.  | 60,750        | 0.00%      |
| 28    | FERNANDEZ JACQUELINE S.                             | 59,455        | 0.00%      |
| 29    | YU MARC EVANS C.                                    | 50,000        | 0.00%      |
| 30    | GREWAL GURPREET SINGH                               | 40,500        | 0.00%      |
| 31    | GO GISELLE KAREN Y.                                 | 30,000        | 0.00%      |
| 32    | LAS EDMUNDO G.                                      | 30,000        | 0.00%      |
| 33    | LUCIO W. YAN &/OR CLARA Y. YAN                      | 19,939        | 0.00%      |
| 34    | RODOLFO G. MENDOZA &/OR ALBINA M. MENDOZA           | 17,469        | 0.00%      |
| 35    | GAN SIN HUA CHAN                                    | 15,000        | 0.00%      |
| 36    | TENG MARICHU B.                                     | 15,000        | 0.00%      |
| 37    | SY WILSON L.  | 15,000        | 0.00%      |
| 38    | ERIC U. LIM OR CHRISTINE YAO LIM                    | 15,000        | 0.00%      |
| 39    | EDWIN U. LIM OR GENEVIEVE LIM                       | 15,000        | 0.00%      |
| 40    | MARJORIE ANN LIM LEE OR PAULINE ANN LIM LEE         | 15,000        | 0.00%      |
| 41    | GO JULIETA L.                                       | 12,219        | 0.00%      |
| 42    | MENDEZ CHRISTIAN ESCUTERIO                          | 11,400        | 0.00%      |
| 43    | SYSMART CORP  | 9,969         | 0.00%      |
| 44    | RETUTA VICENTE R.                                   | 8,850         | 0.00%      |
| 45    | BAYATAN MARIA ERNA C.                               | 6,150         | 0.00%      |
| 46    | BAYATAN JOSELITO T                                  | 6,150         | 0.00%      |
| 47    | AGUJETAS JOSE ALEXIS P.                             | 5,000         | 0.00%      |
| 48    | JAIME F. BORRES ITF JAMES RAFAEL DELA PASION BORRES | 4,800         | 0.00%      |
| 49    | CO KIONG WILLIAM CHUA N.                            | 4,500         | 0.00%      |
| 50    | MENDOZA ANNA LORAIN M.                              | 4,500         | 0.00%      |
| 51    | MENDOZA RAYMOND ALVIN M.                            | 4,500         | 0.00%      |
| 52    | VILLANUEVA MYRA P.                                  | 4,500         | 0.00%      |
| 53    | NSJS REALTY & DEVELOPMENT CORPORATION               | 3,990         | 0.00%      |
| 54    | CHUA YVETTE Q.                                      | 3,600         | 0.00%      |
| 55    | CHUA ELEANOR Q.                                     | 3,600         | 0.00%      |
| 56    | GAN ESTHER GAW                                      | 3,000         | 0.00%      |
| 57    | DEPAYNOS CRESENCIA H &/OR TEDLER D.                 | 3,000         | 0.00%      |

|     |  |       |       |
|-----|--|-------|-------|
| 58  | LETRAN JUDY LEE  | 3,000 | 0.00% |
| 59  | AMANTE JR. LAURO S   | 2,700 | 0.00% |
| 60  | GAMBOA MA. LOURDES JOSEFINA L.                                 | 2,100 | 0.00% |
| 61  | BARRERA JR. JOSELITO L.  | 1,950 | 0.00% |
| 62  | ORLY B. ACOSTA &/OR VICTORIA A. MARTINEZ                       | 1,950 | 0.00% |
| 63  | MARTINEZ GHANDIE A.  | 1,950 | 0.00% |
| 64  | KATHLEEN V. CEBALLO &/OR ESTRELLA V. CEBALLO                   | 1,950 | 0.00% |
| 65  | TRACY V. CEBALLO &/OR ESTRELLA V. CEBALLO                      | 1,950 | 0.00% |
| 66  | JAVIER ELINOR N.   | 1,950 | 0.00% |
| 67  | TEO STEPHEN T. AND/OR TERESITA R.                              | 1,500 | 0.00% |
| 68  | MARGIE B. MERQUITA OR KEVIN MIKHAEL B. MERQUITA                | 1,500 | 0.00% |
| 69  | SAN BUENAVENTURA LEOPOLDO E.                                   | 1,500 | 0.00% |
| 70  | HIPE NELSON J.   | 1,500 | 0.00% |
| 71  | PUNZALAN JR. OSCAR T.  | 1,500 | 0.00% |
| 72  | VILLANUEVA MYRA P.   | 1,500 | 0.00% |
| 73  | LEOPOLDO E. SAN BUENAVENTURA ITF MARGHELYC M. SAN BUENAVENTURA | 1,350 | 0.00% |
| 74  | LEOPOLDO E. SAN BUENAVENTURA ITF CHARMELYN M. SAN BUENAVENTURA | 1,200 | 0.00% |
| 75  | HERRERA NADEZHDA ISKRA F.                                      | 1,095 | 0.00% |
| 76  | LAYLO CHINO DE LUNA  | 900   | 0.00% |
| 77  | RUEL ALFONSO BRODETH CHENG &/OR VANESSA CRISTINA VIAJAR CHENG  | 750   | 0.00% |
| 78  | ELUMBA RIZAMARIE REYES   | 450   | 0.00% |
| 79  | HERRERA NADEZHDA ISKRA F.                                      | 300   | 0.00% |
| 80  | SOLIVEN STEPHEN G.   | 250   | 0.00% |
| 81  | LIMGENCO DONDI RON R.  | 166   | 0.00% |
| 82  | GILI JR. GUILLERMO F.  | 150   | 0.00% |
| 83  | SIMANGAN DALLA MAMAUAG   | 150   | 0.00% |
| 84  | MONTEZA ANGELICA DIANE B.                                      | 150   | 0.00% |
| 85  | ROBERTO L. SANTOS &/OR LYDIA F. SANTOS                         | 100   | 0.00% |
| 86  | VALERIO JR. BENEDICTO M.                                       | 35    | 0.00% |
| 87  | OWEN NATHANIEL S AU ITF LI MARCUS AU                           | 15    | 0.00% |
| 88  | GOTIANUN JONATHAN T  | 15    | 0.00% |
| 89  | GOTIANUN SR ANDREW L   | 15    | 0.00% |
| 90  | YAP LOURDES JOSEPHINE G  | 15    | 0.00% |
| 91  | GOTIANUN MERCEDES T.   | 15    | 0.00% |
| 92  | SALGADO GERARDO L.   | 8     | 0.00% |
| 93  | YAP ISABELLE THERESE G   | 5     | 0.00% |
| 94  | HOFILENA JOSE MARIA G.   | 5     | 0.00% |
| 95  | KILAYKO GREGORIO U.  | 5     | 0.00% |
| 96  | SURATOS ARMANDO L.   | 5     | 0.00% |
| 97  | CAPISTRANO IMELDA  | 5     | 0.00% |
| 98  | ORBETA CRISTINA  | 5     | 0.00% |
| 99  | YAP JOSEPH M.  | 5     | 0.00% |
| 100 | HUANG RHODA A.   | 5     | 0.00% |

|  |                      |                |
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| <b>TOTAL OUTSTANDING - TOP 100 STOCKHOLDERS</b>  | <b>2,249,975,409</b> | <b>100.00%</b> |
| OTHER STOCKHOLDERS                               | 2                    | 0.00%          |
| <b>TOTAL OUTSTANDING, ISSUED &amp; SUBCRIBED</b> | <b>2,249,975,411</b> | <b>100.00%</b> |

Certified by:

**STOCK TRANSFER SERVICE, INC.**

Transfer Agent

**RICHARD D. REGALA, JR.**

General Manager

EW0000000000\_09292023  
 OUTSTANDING BALANCES FOR SPECIFIC COMPANY  
**September 29, 2023**  
 EW0000000000

| BPNAME   | QUANTITY   |
|--|------------|
| UPCC SECURITIES CORP.                            | 397,272    |
| A & A SECURITIES, INC.                           | 1,210,662  |
| ABACUS SECURITIES CORPORATION                    | 34,842,398 |
| PHILSTOCKS FINANCIAL INC                         | 2,096,580  |
| A. T. DE CASTRO SECURITIES CORP.                 | 430,397    |
| ALPHA SECURITIES CORP.                           | 627,582    |
| BA SECURITIES, INC.                              | 21,000     |
| AP SECURITIES INCORPORATED                       | 2,281,915  |
| ANSALDO, GODINEZ & CO., INC.                     | 1,017,367  |
| AB CAPITAL SECURITIES, INC.                      | 2,954,098  |
| SB EQUITIES, INC.                                | 6,100,047  |
| ASIA PACIFIC CAPITAL EQUITIES & SECURITIES CORP. | 99,016     |
| ASIASEC EQUITIES, INC.                           | 1,823,519  |
| ASTRA SECURITIES CORPORATION                     | 39,877     |
| CHINA BANK SECURITIES CORPORATION                | 2,106,717  |
| BELSON SECURITIES, INC.                          | 783,304    |
| BENJAMIN CO CA & CO., INC.                       | 20,016     |
| JAKA SECURITIES CORP.                            | 275,405    |
| BPI SECURITIES CORPORATION                       | 13,118,481 |
| CAMPOS, LANUZA & COMPANY, INC.                   | 483,205    |
| SINCERE SECURITIES CORPORATION                   | 15,982     |
| CENTURY SECURITIES CORPORATION                   | 492,000    |
| CTS GLOBAL EQUITY GROUP, INC.                    | 450,589    |
| LUNA SECURITIES, INC.                            | 1,500      |
| TRITON SECURITIES CORP.                          | 2,883,639  |
| IGC SECURITIES INC.                              | 224,498    |
| CUALOPING SECURITIES CORPORATION                 | 79,317     |
| DAVID GO SECURITIES CORP.                        | 604,225    |
| DIVERSIFIED SECURITIES, INC.                     | 45,261     |
| E. CHUA CHIACO SECURITIES, INC.                  | 2,265,791  |
| EAST WEST CAPITAL CORPORATION                    | 29,962     |
| EASTERN SECURITIES DEVELOPMENT CORPORATION       | 5,425,216  |
| EQUITIWORLD SECURITIES, INC.                     | 46,469     |
| EVERGREEN STOCK BROKERAGE & SEC., INC.           | 3,135,911  |
| FIRST ORIENT SECURITIES, INC.                    | 40,720     |
| FIRST INTEGRATED CAPITAL SECURITIES, INC.        | 52,490     |
| F. YAP SECURITIES, INC.                          | 7,989,213  |
| AURORA SECURITIES, INC.                          | 276,918    |
| GLOBALINKS SECURITIES & STOCKS, INC.             | 573,369    |
| JSG SECURITIES, INC.                             | 450,440    |
| GOLDSTAR SECURITIES, INC.                        | 599,920    |

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| GUILD SECURITIES, INC.                       | 347,228    |
| HDI SECURITIES, INC.                         | 2,419,621  |
| H. E. BENNETT SECURITIES, INC.               | 163,905    |
| I. ACKERMAN & CO., INC.                      | 8,142      |
| I. B. GIMENEZ SECURITIES, INC.               | 18,400     |
| INVESTORS SECURITIES, INC,                   | 304,418    |
| IMPERIAL,DE GUZMAN,ABALOS & CO.,INC.         | 103,350    |
| INTRA-INVEST SECURITIES, INC.                | 170,407    |
| J.M. BARCELON & CO., INC.                    | 121,704    |
| VALUE QUEST SECURITIES CORPORATION           | 12,793,778 |
| STRATEGIC EQUITIES CORP.                     | 471,940    |
| LARRGO SECURITIES CO., INC.                  | 15,000     |
| LOPEZ, LOCSIN, LEDESMA & CO., INC.           | 145,310    |
| LUCKY SECURITIES, INC.                       | 1,697,853  |
| LUYS SECURITIES COMPANY, INC.                | 39,319     |
| MANDARIN SECURITIES CORPORATION              | 1,091,454  |
| COL Financial Group, Inc.                    | 36,405,487 |
| DA MARKET SECURITIES, INC.                   | 92,171     |
| MERCANTILE SECURITIES CORP.                  | 273,865    |
| MERIDIAN SECURITIES, INC.                    | 330,395    |
| MDR SECURITIES, INC.                         | 196,117    |
| REGIS PARTNERS, INC.                         | 271,538    |
| MOUNT PEAK SECURITIES, INC.                  | 2,424      |
| NEW WORLD SECURITIES CO., INC.               | 294,838    |
| OPTIMUM SECURITIES CORPORATION               | 294,309    |
| RCBC SECURITIES, INC.                        | 1,210,815  |
| PAN ASIA SECURITIES CORP.                    | 311,087    |
| PAPA SECURITIES CORPORATION                  | 6,017,979  |
| MAYBANK SECURITIES, INC.                     | 2,867,608  |
| PLATINUM SECURITIES, INC.                    | 5,000      |
| PNB SECURITIES, INC.                         | 576,955    |
| PREMIUM SECURITIES, INC.                     | 278,289    |
| SALISBURY SECURITIES CORPORATION             | 56,741     |
| QUALITY INVESTMENTS & SECURITIES CORPORATION | 2,278,168  |
| R & L INVESTMENTS, INC.                      | 3,887      |
| ALAKOR SECURITIES CORPORATION                | 28,937     |
| R. COYIUTO SECURITIES, INC.                  | 4,041,736  |
| REGINA CAPITAL DEVELOPMENT CORPORATION       | 3,609,711  |
| R. NUBLA SECURITIES, INC.                    | 25,448,538 |
| AAA SOUTHEAST EQUITIES, INCORPORATED         | 576,710    |
| R. S. LIM & CO., INC.                        | 964,020    |
| RTG & COMPANY, INC.                          | 183,538    |
| S.J. ROXAS & CO., INC.                       | 47,480     |
| SECURITIES SPECIALISTS, INC.                 | 689,874    |
| FIDELITY SECURITIES, INC.                    | 90,116     |
| SUMMIT SECURITIES, INC.                      | 2,039,615  |
| STANDARD SECURITIES CORPORATION              | 896,472    |

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| SUPREME STOCKBROKERS, INC                                    | 70,500             |
| TANSENGCO & CO., INC.  | 327,789            |
| THE FIRST RESOURCES MANAGEMENT & SECURITIES CORP.            | 462,060            |
| TOWER SECURITIES, INC.                                       | 6,319,109          |
| APEX PHILIPPINES EQUITIES CORPORATION                        | 1,500              |
| DRAGONFI SECURITIES, INC.                                    | 2,200              |
| LANDBANK SECURITIES, INC.                                    | 1,021,179          |
| VENTURE SECURITIES, INC.                                     | 44,450             |
| FIRST METRO SECURITIES BROKERAGE CORP.                       | 14,493,082         |
| WEALTH SECURITIES, INC.                                      | 35,939,581         |
| WESTLINK GLOBAL EQUITIES, INC.                               | 103,449            |
| BERNAD SECURITIES, INC.                                      | 829,817            |
| WONG SECURITIES CORPORATION                                  | 39,240             |
| YAO & ZIALCITA, INC.   | 2,643,350          |
| YU & COMPANY, INC.   | 1,800,936          |
| BDO SECURITIES CORPORATION                                   | 15,847,441         |
| EAGLE EQUITIES, INC.   | 1,247,660          |
| GOLDEN TOWER SECURITIES & HOLDINGS, INC.                     | 601,769            |
| SOLAR SECURITIES, INC.                                       | 5,271,971          |
| G.D. TAN & COMPANY, INC.                                     | 896,835            |
| PHILIPPINE EQUITY PARTNERS, INC.                             | 20,423,214         |
| UNICAPITAL SECURITIES INC.                                   | 7,387,006          |
| SunSecurities, Inc.  | 439,474            |
| COHERCO SECURITIES, INC.                                     | 10,000             |
| TIMSON SECURITIES, INC.                                      | 6,099,200          |
| CHINA BANKING CORPORATION - TRUST GROUP                      | 2,700              |
| CITIBANK N.A.  | 20,009,516         |
| DEUTSCHE BANK MANILA-CLIENTS A/C                             | 988,200            |
| BANK OF COMMERCE - TRUST SERVICES GROUP                      | 569,450            |
| RCBC TRUST & INVESTMENT DIVISION - VARIOUS TAXABLE ACCTS     | 74,233             |
| DEUTSCHE BANK MANILA-CLIENTS A/C                             | 9                  |
| STANDARD CHARTERED BANK                                      | 42,058,585         |
| THE HONGKONG AND SHANGHAI BANKING CORP. LTD. -CLIENTS' ACCT. | 2,571,400          |
| UNITED COCONUT PLANTERS LIFE ASSURANCE CORPORATION           | 416,000            |
| MBTC - TRUST BANKING GROUP                                   | 29,908             |
| SOCIAL SECURITY SYSTEM                                       | 56,400             |
| AB CAPITAL & INVESTMENT CORP. - TRUST & INVESTMENT DIV.      | 439,650            |
| DEUTSCHE BANK MANILA-CLIENTS A/C                             | 3                  |
| LAND BANK OF THE PHILIPPINES-TRUST BANKING GROUP             | 104,700            |
| UCPB GENERAL INSURANCE CO., INC.                             | 317,021            |
| LBP-TBG THIRD PARTY CUSTODIANSHIP & REGISTRY DEPT            | 3,033,900          |
| EASTWEST BANKING CORPORATION - TRUST DIVISION                | 67,151,457         |
| EAST WEST BANKING CORP.                                      | 41                 |
| <b>Total</b>   | <b>466,854,522</b> |