



111092016001199



## SECURITIES AND EXCHANGE COMMISSION

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Company Information

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SEC Registration No. AS94002733

Company Name EAST WEST BANKING CORP.

Industry Classification

Company Type Stock Corporation

**Document Information**

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Document ID 111092016001199

Document Type Initial Statement of Beneficial Ownership

Document Code 23A

Period Covered November 09, 2016

No. of Days Late 0

Department CFD

Remarks REPORTING PERSON:FALCON,LOURDES BLANQUITA BANZON

COVER SHEET

A S O 9 4 0 0 2 7 3 3

S.E.C. Registration Number

E A S T W E S T B A N K I N G C O R P O R A T I O N

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(Company's Full Name)

T H E B E A U F O R T , 5 T H A V E N U E C O R .

2 3 R D S T . B O N I F A C I O G L O B A L C I T Y ,

T A G U I G C I T Y

(Business Address: No. Street City / Town / Province)

ATTY. BENEDICTO M. VALERIO, JR

Contact Person

575-3871

Company Telephone Number

1 2

Month

3 1

Day

SEC17-C

FORM TYPE

[Empty grid]

Month Day

Secondary License Type, if Available

M S R D

Dept. Requiring this Doc.

Amended Articles Number/Section

Total Amount of Borrowings

[Empty box]

Total No. of Stockholders

[Empty box]

Domestic

[Empty box]

Foreign

To be accomplished by SEC Personnel concerned

[Empty grid]

File Number

LCU

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STAMPS

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SECURITIES AND EXCHANGE COMMISSION

SEC FORM 17-C

CURRENT REPORT UNDER SECTION 17  
OF THE SECURITIES REGULATION CODE  
AND SRC RULE 17.2(c) THEREUNDER

1. **November 9, 2016**  
Date of Report (Date of earliest event reported)
2. SEC Identification Number: **ASO94-002733**    3. BIR Tax Identification No. **003-921-057**
4. **EAST WEST BANKING CORPORATION**  
Exact name of issuer as specified in its charter
5. **Metro Manila, Philippines**  
Province, country or other jurisdiction of incorporation
6. (SEC Use Only)    
Industry Classification Code:
7. **The Beaufort, 5<sup>th</sup> Avenue corner 23<sup>rd</sup> Sts., Bonifacio Global City, Taguig 1634**  
Address of principal office Postal Code
8. **(632) 575-3888**  
Issuer's telephone number, including area code
9. **N/A**  
(Former name or former address, if changed since last report)
10. Securities registered pursuant to Sections 8 and 12 of the SRC or Sections 4 and 8 of the RSA

Title of Each Class	Number of Shares of Common Stock Outstanding and Amount of Debt Outstanding
<b>Common</b>	<b>1,499,983,610</b>

11. Indicate the item numbers reported herein: **Item no .9 Other Events**

In relation to the SEC17-C submitted on November 03, 2016, we are submitting herewith the signed 23-A form of Ms. Lourdes Blanquita B. Falcon, Senior Vice President, Head of Corporate Banking 2/ Markets, Loans and Investment.

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SIGNATURES

Pursuant to the requirements of the Securities Regulation Code, the issuer has duly caused this report to be signed on its behalf by the undersigned hereunto duly authorized.

**EAST WEST BANKING CORPORATION**  
(Issuer)

**November 09, 2016**  
(Date)



**ATTY. BENEDICTO M. VALERIO, JR.**  
Corporate Secretary  
(Signature and Title)

**SECURITIES AND EXCHANGE COMMISSION  
Metro Manila, Philippines**

**FORM 23-A**

**REVISED**

**INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES**

Filed pursuant to Section 23 of the Securities Regulation Code

1. Name and Address of Reporting Person <b>Falcon, Lourdes Blancarita Barzon</b>			2. Date of Event Requiring Statement (Month/Day/Year) <b>11/9/16</b>		5. Issuer Name and Trading Symbol <b>EAST WEST BANKING CORPORATION (EW)</b>		
(Last) <b>19 Zaragoza</b>	(First) <b>BF</b>	(Middle) <b>Homes Phase 6A</b>	3. Tax Identification Number <b>123 380 178 000</b>		6. Relationship of Reporting Person to Issuer (Check all applicable) Director _____ 10% Owner _____ Officer _____ Other _____ (give title below) <b>SVP</b> (specify below)		7. If Amendment, Date of Original (Month/Day/Year) <b>N/A</b>
(Street) <b>Paranaque</b>			4. Citizenship <b>FILIPINO</b>				
(City) (Province) (Postal Code)			<b>Table 1 - Equity Securities Beneficially Owned</b>				
1. Class of Equity Security		2. Amount of Securities Beneficially Owned		3. Ownership Form: Direct (D) or Indirect (I) *	4. Nature of Indirect Beneficial Ownership		
		%	Number				

**If the reporting person previously owned 5% or more but less than 10%, provide the disclosure requirements set forth on page 3 of this Form.**

Reminder: Report on a separate line for each class of equity securities beneficially owned directly or indirectly.  
(Print or Type Responses)

\* (1) A person is directly or indirectly the beneficial owner of any equity security with respect to which he has or shares:  
(A) Voting power which includes the power to vote, or to direct the voting of, such security; and/or  
(B) Investment power which includes the power to dispose of, or to direct the disposition of, such security.


(2) A person will be deemed to have an indirect beneficial interest in any equity security which is:  
(A) held by members of a person's immediate family sharing the same household; held by members of a person's immediate family sharing the same household;  
(B) held by a partnership in which such person is a general partner;  
(C) held by a corporation of which such person is a controlling shareholder, or held by a corporation of which such person is a controlling shareholder, or  
(D) subject to any contract, arrangement or understanding which gives such person voting power or investment power with respect to such security.

**FORM 23-A** (continued)

Table II - Derivative Securities Beneficially Owned (e.g., warrants, options, convertible securities)

1. Derivative Security	2. Date Exercisable and Expiration Date (Month/Day/Year)		3. Title and Amount of Equity Securities Underlying the Derivative Security		4. Conversion or Exercise Price of Derivative Security	5. Ownership Form of Derivative Security Direct (D) or Indirect (I) *	6. Nature of Indirect Beneficial Ownership
	Date Exercisable	Expiration Date	Title	Amount or Number of Shares			
N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A

Explanation of Responses:

  
 \_\_\_\_\_  
 Signature of Reporting Person  
**Lourdes Blanquita B. Falcon**  
 SVP, Head of Corporate Banking 2/ Markets, Loans & Investments

(Print or Type Responses)